

**HIMACHAL PRADESH ELECTRICITY REGULATORY COMMISSION
SHIMLA**

NOTIFICATION

No. HPERC/F(5)(3)(1)(Gen)

Dated: 1st November, 2013

In exercise of the powers conferred by sub-regulation (1) of regulation 35 and regulation 47 of the Himachal Pradesh Electricity Regulatory Commission(Terms and Conditions for Determination of Hydro Generation Tariff) Regulations, 2011 and regulations 8 and 10 of the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filing) Regulations, 2005, read with section 21 of the General Clauses Act, 1897 (Act No. 10 of 1987) and all the powers enabling it in this behalf, the Himachal Pradesh Electricity Regulatory Commission, hereby makes the following Order to revise the formats for the Multi Year Tariff filings by the generating company for the control period starting from 1st April, 2014 and thereafter, namely:-

ORDER

1. Short title and commencement:- This Order may be called the Himachal Pradesh Electricity Regulatory Commission (Formats for Multi Year Tariff Filings by the Generating Company) Order, 2013.

(2) This Order shall come into force on the date of its publication in the Rajpatra, Himachal Pradesh.

2. Definitions:- In this order, unless the context otherwise requires,-

(a) "Act" means the Electricity Act, 2003 (Act No. 36 of 2003);

(b) "Annexure" means the annexure to this Order;

(c) "Form" means the Form annexed to this Order;

(d) "regulations" means the regulations made under the Act;

(e) the words and expressions used and not defined in this Order but defined in the Act and the regulations framed thereunder shall have the meanings as assigned them in the Act.

3. Forms for Multi Year Tariff Filings by the Generating Company:-

(1) The Multi Year Tariff filing by the generating company for the control period starting from the 1st day of April, 2014 and thereafter shall be in the Forms as given in the Annexure to this Order and hosted on hperc website www.hperc.org.

(2) The Forms laid down in the Annexure to this Order, with such modifications or variations, as the circumstance of each case may require, shall be used for the purpose mentioned therein and where no Form is laid down to cover a contingency, Form as may be approved by the Commission shall be used.

By order of the Commission

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Secretary

[See Para 3(1) of Order]

Index Of Regulatory Compliance Formats For Tariff Filing By Generating Company:

A. SUMMARY FORMATS		
1	S1	Annual Revenue Requirement
2	S2	Return on Equity
3	S3	Details of COD, Type of hydro station, Capacity Index, Primary energy rate
i.	S3A	Design energy and peaking capability (month-wise)- ROR with Pondage/ Storage type new stations
ii.	S3B	Design energy and MW Continuous (month-wise)- ROR type stations
4	S4	Salient features of Hydroelectric Project
B. DETAILES FINANCIAL FORMATS		
1	F1	Calculation of O&M Expenses
2	F2	Details of O&M Expenses
i.	F2A	Employee Expense
ii.	F2B	R&M Expense
iii.	F2C	A&G Expense
3	F3	Abstract of Admitted Capital Cost for the existing Projects
4	F4A	Abstract of Capital Cost Estimates and Schedule of Commissioning for the New Projects
5	F4B	Break up of Capital cost for Hydro Power Generating Station in New Projects
6	F4C	Break up of Capital Cost for Plant & Equipment
7	F4D	Financial Package up to COD
8	F5	Statement of Additional Capitalization after COD
9	F6	Financing of Additional Capitalization
10	F7	Details of Fixed Assets & Provision for Depreciation
11	F8A	Details of Project Specific Loans
12	F8B	Details of Foreign loans
13	F8C	Calculation of Weighted Average Rate of Actual Loans
14	F8D	Draw Down Schedule for Calculation of IDC & Financing Charges
15	F8E	Details of Allocation of corporate loans to various projects
16	F9	Details of Expenses Capitalized
17	F10	Contribution Grants & subsidies towards Capital assets
18	F11	Share Capital and Reserves & Surplus
19	F12	Working Capital Requirements
20	F13	Project-wise / Scheme-wise Capital Expenditure
21	F13A	Capital Works in Progress
C. OTHER INFORMATION/ DOCUMENTS		
1		Certificate of incorporation, Certificate for Commencing Business, Memorandum of Association & Article of Association (for new station set up by a company making tariff application for the first time to HPERC)
2		Station-wise and Corporate AUDITED Balance Sheet (Annually) and Profit & Loss Accounts with all the Schedules & annexure on COD of the station and for the relevant years on Quarterly Basis
3		Copies of relevant loan agreements
4		Copies of the approval of Competent Authority for the Capital Cost and Financial package.
5		Copies of the Equity participation agreements and necessary approval for the foreign equity
6		Copies of the BPSA/PPA with the beneficiaries, if any
7		Detailed note giving reasons of time and cost overrun, if applicable.
8		Any other relevant information (Please specify)